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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Dai KAMIYA et al.

Appln. No.: 10/684,968

Filed: October 14, 2003

For: COMMUNICATION DEVICE, PROGRAM AND
RECORDING MEDIA

Examiner: Kim, Jung W.

Art Unit: 2132

Confirmation No. 9952

Attorney Docket No: 9683/160

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal (in duplicate); Supplemental Information Disclosure Statement; Form PTO 149; and Ten Cited References;
☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An additional filing fee has been calculated as shown below:

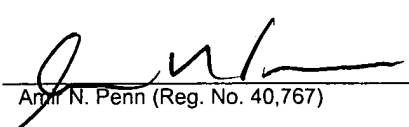
					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	0
Indep.		Minus			x 105=			x \$210=	0
First Presentation of Multiple Dep. Claim					+\$185=			+\$370=	0
					Total	\$		Total	\$0

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ Please charge Deposit Account No. 23-1925 in the amount of \$180.00.
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

July 30, 2008
Date


Arthur N. Penn (Reg. No. 40,767)



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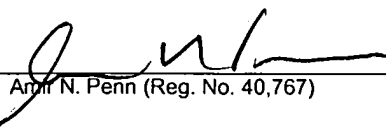
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Respectfully submitted,

July 30, 2008
Date


Amir N. Penn (Reg. No. 40,767)



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Date of Deposit: July 30, 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Dai Kamiya et al.

Appln. No.: 10/684,968

Filed: October 14, 2003

For: Communication device,
program and recording media

Attorney Docket No: 9683/160

Examiner: KIM, JUNG W

Art Unit: 2132

Confirmation No.: 9952

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure under 37 CFR §1.56 and §§1.97-1.98, and more particularly in accordance with 37 CFR §1.97(c), Applicants hereby cite the following reference(s):

U.S. PATENT DOCUMENTS		
DOCUMENT NO.	DATE	NAME
2005/0235291 A1	10/20/2005	Kamiya et al.

FOREIGN PATENT DOCUMENTS		
DOCUMENT NO.	DATE	COUNTRY
JP 2001-350664 A	12/21/2001	JPO
JP 2001-43176 A	02/16/2001	JPO
EP 1 061 440 A2	12/20/2000	EPO
WO 00/28398 A1	05/18/2000	WIPO
CN 1292897 A	04/25/2001	China

OTHER ART – NON PATENT LITERATURE DOCUMENTS
Kaku, T. et al, "Hajimete no i-mode Java programming (introduction to Java Programming in i-mode)", Nikkei Business Publications, Inc. March 26, 2001, pp 30-41 (with concise explanation)
Oaks, S. "Java Security, 2 nd Edition", O'Reilly Media, Inc., May 2001, Chapter 2 and 4

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"i-appli Content Developer's Guide for Doja-3.0 Shosai-Hen (i-appli Content Developer's Guide for Doja-3.0 Functional Descriptions)", NTT Docomo, Inc., April 17, 2003 (with English version on December 18, 2003)
Yamato, T., "Keitai Yogo no Kiso Chisiki Dai 132 Kai": i-Appli DX towa? (Basic Terminology in Mobile Phone Technology, Series 132: What is i-Appli DX?), Impress Corp., May 13, 2003 (with partial translation)
International Search Report issued September 02, 2003 in International application number PCT/JP03/06099
Office Action issued May 12, 2006 in Chinese patent application number 03809706.0 (with translation)
Supplementary European Search Report issued November 5, 2007 in European patent application No. 03723394.7
IBM Cryptolope Live!, "The Cryptolope Live! Product", XP002908144, General Information Guide, Version 1 Release 1, 1997, pages 1-36
Gong, "Java Security Architecture (JDK1.2).", Version 1.0, XP-002171445, pages 1-62, December 6, 1998
Kaplan, "IBM Cryptolopes, SuperDistribution and Digital Rights Management", XP-002132994, December 30, 1996

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 CFR §1.98(a)(2). Pursuant to the undersigned attorney's obligation and duties under 37 C.F.R. §§ 1.56 and 1.98(a)(3) and (c), either English language abstracts, partial translations, or full translations are included for patent documents which are not in English for the express purpose of providing a concise explanation of the references to the Patent and Trademark Office with the opportunity to evaluate the same. Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 CFR §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 CFR §1.56(a).

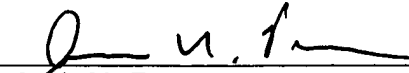
Applicants have calculated a processing fee in the amount of \$180.00 to be due under 37 CFR §1.17(p) in connection with the filing of this Information Disclosure Statement. Applicants have enclosed a check covering this fee, or authorized charging

the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Information Disclosure Statement.

Respectfully submitted,

July 30, 2008

Date



Amir N. Penn

(Reg. No. 40,767)